

A Cornell Box rendering with a red left wall, a green right wall, and a yellow back wall. A white rectangular light fixture is on the ceiling. In the center, there is a dark grey rectangular block. A semi-transparent rounded rectangle is overlaid on the scene, containing the text "Ray Tracing" and "All the maths".

Advanced Graphics

Ray Tracing
All the maths

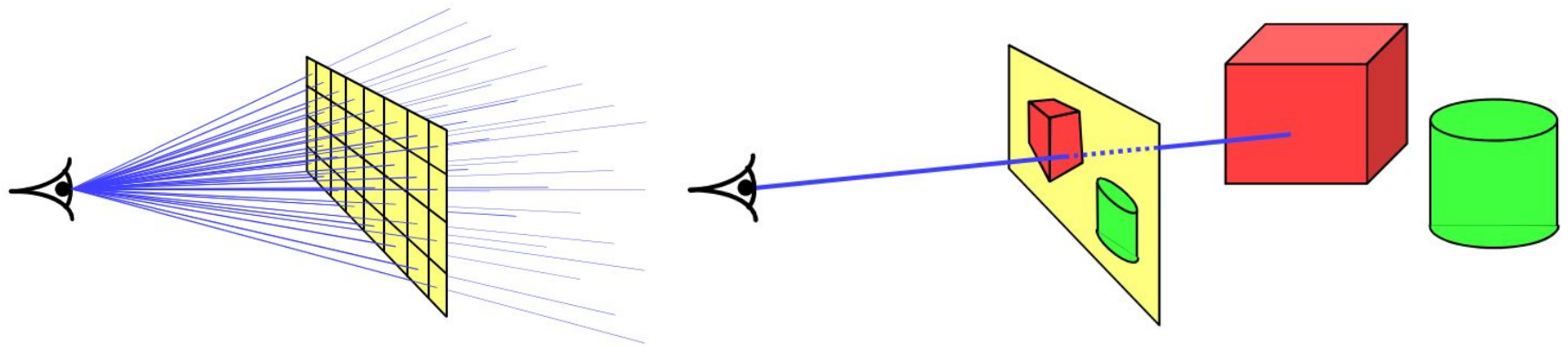
“Cornell Box” by Steven Parker, University of Utah.

A tera-ray monte-carlo rendering of the Cornell Box, generated in 2 CPU years on an Origin 2000. The full image contains 2048 x 2048 pixels with over 100,000 primary rays per pixel (317 x 317 jittered samples). Over one trillion rays were traced in the generation of this image.

Ray tracing

- A powerful alternative to polygon scan-conversion techniques
- An elegantly simple algorithm:

Given a set of 3D objects, shoot a ray from the eye through the center of every pixel and see what it hits.



The algorithm

Select an eye point and a screen plane.

for (every pixel in the screen plane):

Find the ray from the eye through the pixel's center.

for (each object in the scene):

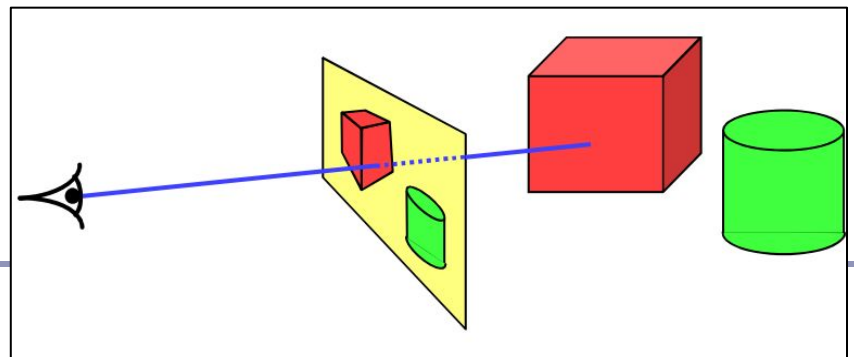
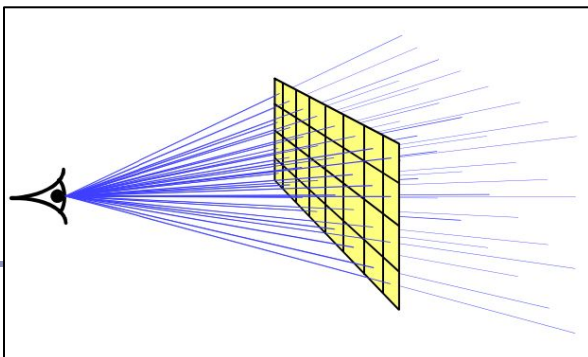
if (the ray hits the object):

if (the intersection is the nearest (so far) to the eye):

Record the intersection point.

Record the color of the object at that point.

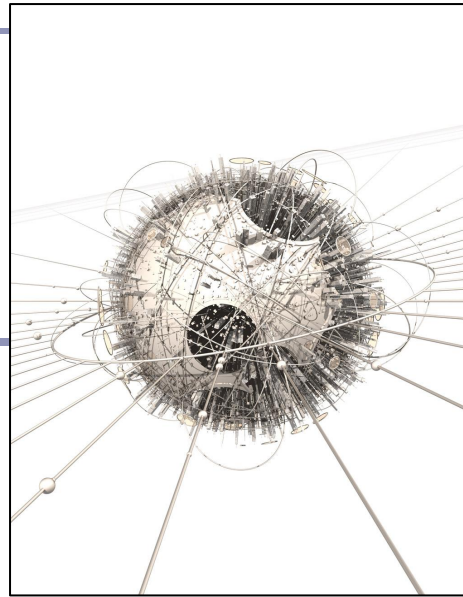
Set the screen plane pixel to the nearest recorded color.



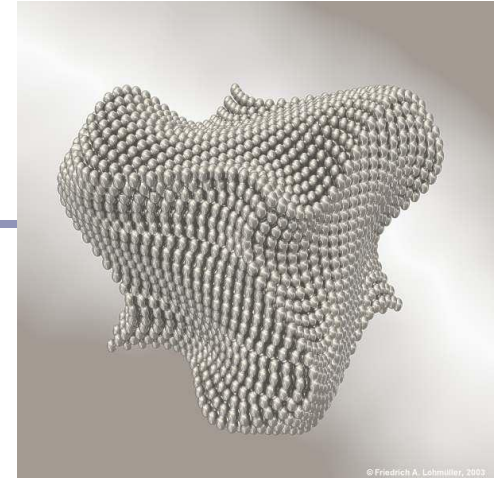
Examples



"Scherk-Collins sculpture" by
[Trevor G. Quayle](#) (2008)



"POV Planet" by [Casey Uhrig](#) (2004)



"Dancing Cube" by [Friedrich A. Lohmüller](#) (2003)



© 2004 Tor Olav Kristensen

"Villarceau Circles" by [Tor Olav Kristensen](#) (2004)



"Glasses" by [Gilles Tran](#) (2006)

It doesn't take much code

The basic algorithm is straightforward, but there's much room for subtlety

- Refraction
- Reflection
- Shadows
- Anti-aliasing
- Blurred edges
- Depth-of-field effects
- ...



```
typedef struct{double x,y,z;}vec;vec U,black,amb={.02,.02,.02};
struct sphere{vec cen,color;double rad,kd,ks,kt,kl,ir;}*s,*best
,sph[]={0.,6.,.5,1.,1.,.9,.05,.2,.85,0.,1.7,-1.,8.,-.5,1.,.5
,.2,1.,.7,.3,0.,.05,1.2,1.,8.,-.5,.1,.8,.8,1.,.3,.7,0.,0.,1.2,3
,-6.,15.,1.,.8,1.,7.,0.,0.,0.,.6,1.5,-3.,-3.,.12.,.8,1.,1.,5.,0
,.0.,0.,.5,1.5,};int yx;double u,b,tmin,sqrt(),tan();double
vdot(vec A,vec B){return A.x*B.x+A.y*B.y+A.z*B.z;}vec vcomb(
double a,vec A,vec B){B.x+=a*A.x;B.y+=a*A.y;B.z+=a*A.z;return
B;}vec vunit(vec A){return vcomb(1./sqrt(vdot(A,A)),A,black);}
struct sphere*intersect(vec P,vec D){best=0;tmin=10000;s=sph+5;
while(s-->sph)b=vdot(D,U=vcomb(-1.,P,s->cen)),u=b*b-vdot(U,U)+
s->rad*s->rad,u=u>0?sqrt(u):10000,u=b-u>0.000001?b-u:b+u,tmin=
u>0.00001&&u<tmin?best=s,u:tmin;return best;}vec trace(int
level,vec P,vec D){double d,eta,e;vec N,color;struct sphere*s,
*l;if(!level--)return black;if(s=intersect(P,D));else return
amb;color=amb;eta=s->ir;d=-vdot(D,N=vunit(vcomb(-1.,P=vcomb(
tmin,D,P),s->cen)));if(d<0)N=vcomb(-1.,N,black),eta=1/eta,d=
-d;l=sph+5;while(l-->sph)if((e=l->kl*vdot(N,U=vunit(vcomb(-1.,P
,l->cen))))>0&&intersect(P,U)==l)color=vcomb(e,l->color,color);
U=s->color;color.x*=U.x;color.y*=U.y;color.z*=U.z;e=1-eta*eta*(
1-d*d);return vcomb(s->kt,e>0?trace(level,P,vcomb(eta,D,vcomb(
eta*d-sqrt(e),N,black))):black,vcomb(s->ks,trace(level,P,vcomb(
2*d,N,D)),vcomb(s->kd,color,vcomb(s->kl,black))));}main(){int
d=512;printf("%d %d\n",d,d);while(yx<d*d){U.x=yx*d-d/2;U.z=d/2-
yx++/d;U.y=d/2/tan(25/114.5915590261);U=vcomb(255.,trace(3,
black,vunit(U)),black);printf("%0.f %0.f %0.f\n",U.x,U.y,U.z);}
}/*minray!*/
```

Paul Heckbert's 'minray' ray tracer, which fit on the back of his business card. (circa 1983)

Running time

The ray tracing time for a scene is a function of

- (num rays cast) x
- (num lights) x
- (num objects in scene) x
- (num reflective surfaces) x
- (num transparent surfaces) x
- (num shadow rays) x
- (ray reflection depth) x ...



Image by nVidia

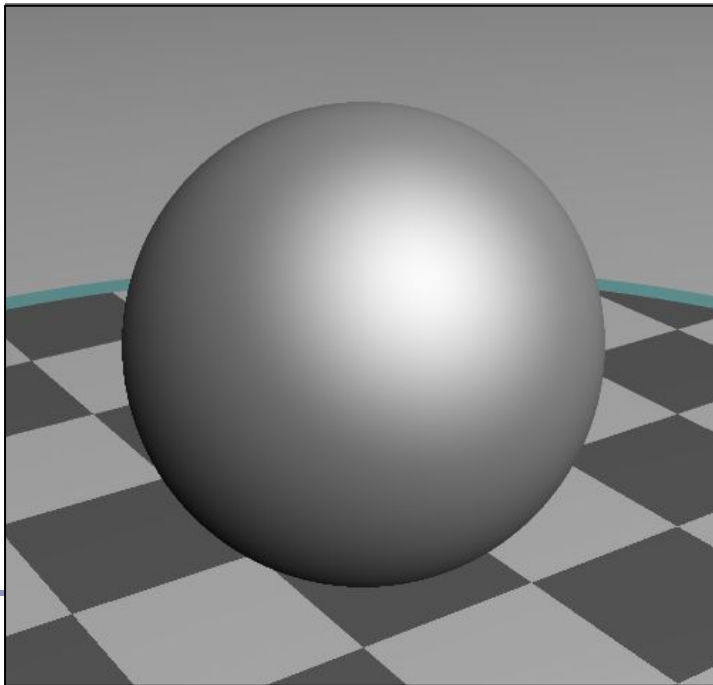
Contrast this to polygon rasterization: time is a function of the number of elements in the scene times the number of lights.

Recall: illumination

The *total illumination at P* is:

$$I(P) = k_A + k_D(N \cdot L) + k_S(R \cdot E)^n$$

summed over all lights L .

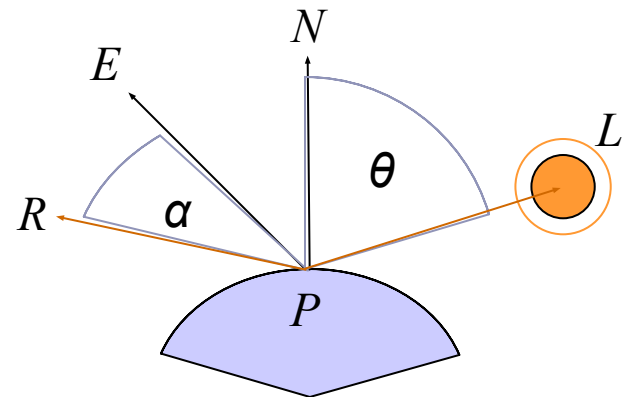


Ambient light: k_A

Diffuse light: $k_D(N \cdot L)$

Specular light: $k_S(R \cdot E)^n$

where $R = L - 2(L \cdot N)N$



Ray-traced illumination

Once you have the point P (the intersection of the ray with the nearest object) you'll compute how much each of the lights in the scene illuminates P .

$diffuse = 0$

$specular = 0$

for (each light L_i in the scene):

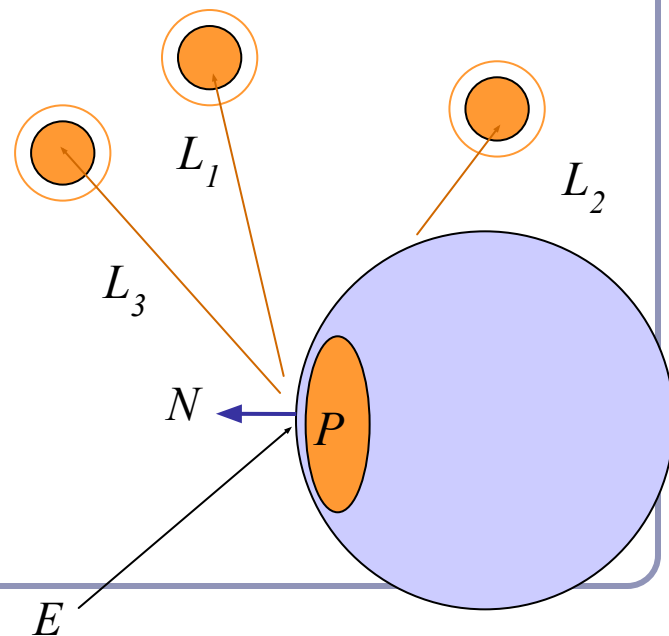
if $(N \cdot L) > 0$:

[Optionally: if (a ray from P to L_i can reach L_i):]

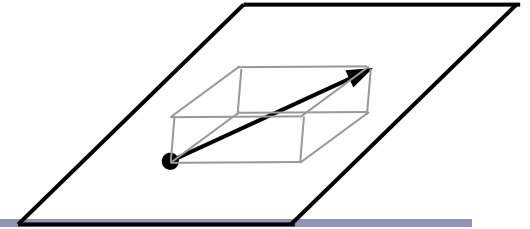
$diffuse += k_D(N \cdot L)$

$specular += k_S(R \cdot E)^n$

$intensity\ at\ P = ambient + diffuse + specular$



Hitting things with rays



A ray is defined parametrically as

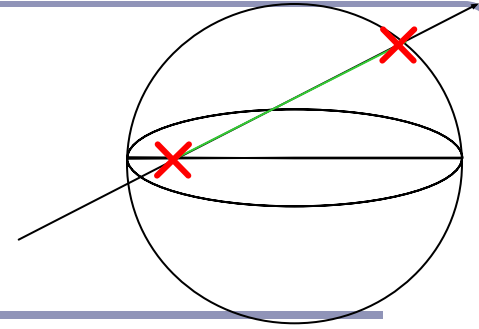
$$P(t) = E + tD, t \geq 0 \quad (\alpha)$$

where E is the ray's origin (our eye position) and D is the ray's direction, a unit-length vector.

We expand this equation to three dimensions, x , y and z :

$$\left. \begin{aligned} x(t) &= x_E + tx_D \\ y(t) &= y_E + ty_D \\ z(t) &= z_E + tz_D \end{aligned} \right\} t \geq 0 \quad (\beta)$$

Hitting things with rays: Sphere



The unit sphere, centered at the origin, has the implicit equation

$$x^2 + y^2 + z^2 = 1 \quad (\gamma)$$

Substituting equation (β) into (γ) gives

$$(x_E + tx_D)^2 + (y_E + ty_D)^2 + (z_E + tz_D)^2 = 1$$

which expands to

$$t^2(x_D^2 + y_D^2 + z_D^2) + t(2x_E x_D + 2y_E y_D + 2z_E z_D) + (x_E^2 + y_E^2 + z_E^2 - 1) = 0$$

which is of the form

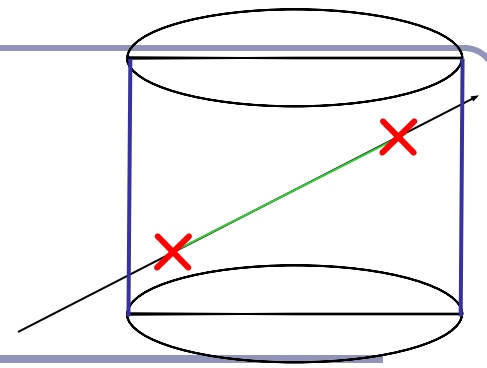
$$at^2 + bt + c = 0$$

which can be solved for t :

$$t = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$$

...giving us two points of intersection.

Hitting things with rays: Cylinder



The infinite unit cylinder, centered at the origin, has the implicit equation

$$x^2 + y^2 = 1 \quad (\delta)$$

Substituting equation (β) into (δ) gives

$$(x_E + tx_D)^2 + (y_E + ty_D)^2 = 1$$

which expands to

$$t^2(x_D^2 + y_D^2) + t(2x_E x_D + 2y_E y_D) + (x_E^2 + y_E^2 - 1) = 0$$

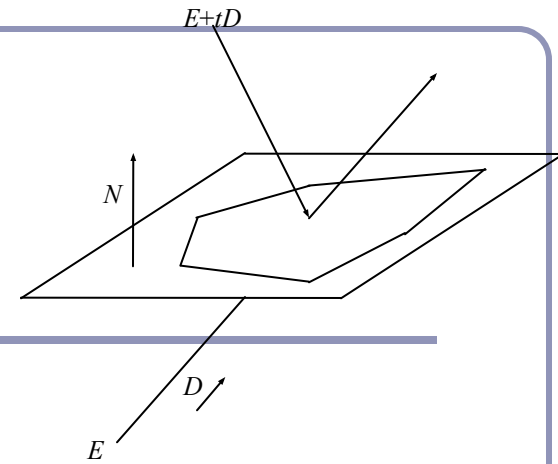
which is of the form

$$at^2 + bt + c = 0$$

which can be solved for t as before, giving us two points of intersection.

The cylinder is infinite; there is no z term.

Hitting things with rays: Planes and polygons



A planar polygon P can be defined as

$$\text{Polygon } P = \{v^1, \dots, v^n\}$$

which gives us the normal to P as

$$N = (v^n - v^1) \times (v^2 - v^1)$$

The equation for the plane of P is

$$N \cdot (p - v^1) = 0 \tag{\zeta}$$

Substituting equation (α) into (ζ) for p yields

$$N \cdot (E + tD - v^1) = 0$$

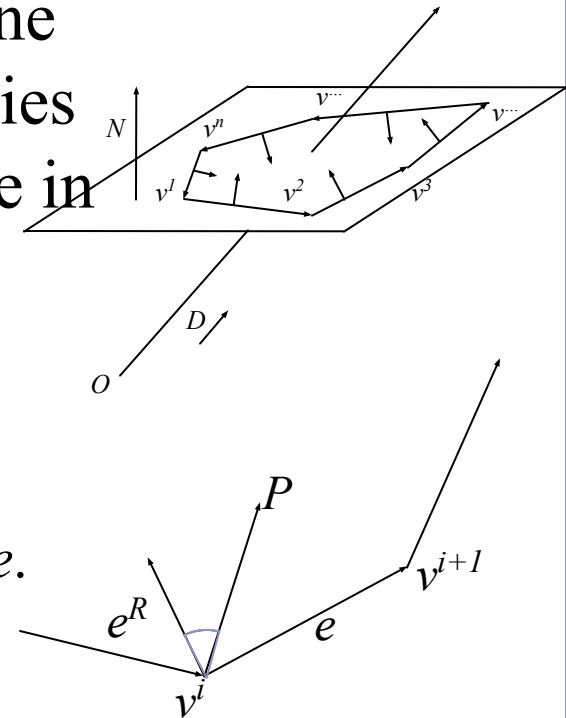
$$x_N(x_E + tx_D - x_v^1) + y_N(y_E + ty_D - y_v^1) + z_N(z_E + tz_D - z_v^1) = 0$$

$$t = \frac{(N \cdot v^1) - (N \cdot E)}{N \cdot D}$$

Point in convex polygon

Half-planes method

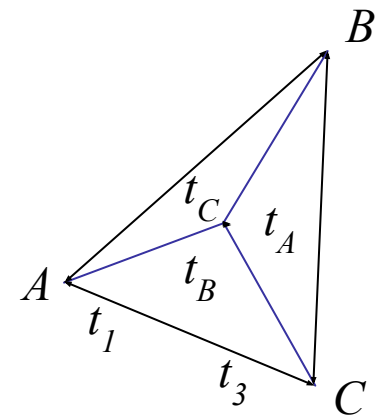
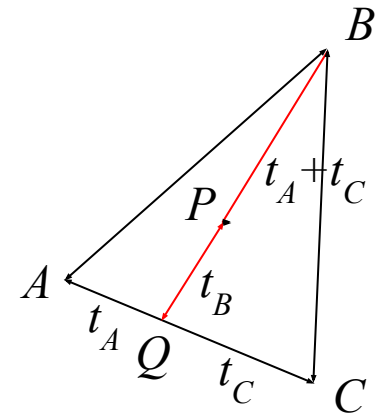
- Each edge defines an infinite half-plane covering the polygon. If the point P lies in all of the half-planes then it must be in the polygon.
- For each edge $e=v^i \rightarrow v^{i+1}$:
 - Rotate e by 90° CCW around N .
 - Do this quickly by crossing N with e .
 - If $e^R \cdot (P - v^i) < 0$ then the point is outside e .
- Fastest known method.



Barycentric coordinates

Barycentric coordinates (t_A, t_B, t_C) are a coordinate system for describing the location of a point P inside a triangle (A, B, C) .

- You can think of (t_A, t_B, t_C) as ‘masses’ placed at (A, B, C) respectively so that the center of gravity of the triangle lies at P .
- (t_A, t_B, t_C) are also proportional to the subtriangle areas.
 - The area of a triangle is $\frac{1}{2}$ the length of the cross product of two of its sides.



Point in nonconvex polygon

Winding number

- The *winding number* of a point P in a curve C is the number of times that the curve wraps around the point.
- For a simple closed curve (as any well-behaved polygon should be) this will be zero if the point is outside the curve, non-zero if it's inside.
- The winding number is the sum of the angles from v^i to P to v^{i+1} .
 - Caveat: This method is elegant but slow.

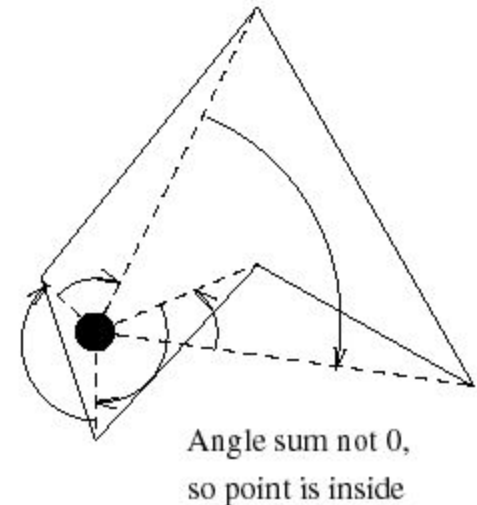
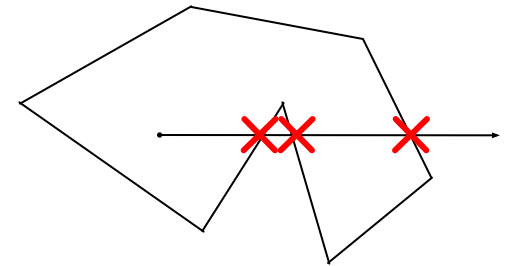


Figure from Eric Haines' "Point in Polygon Strategies", *Graphics Gems IV*, 1994

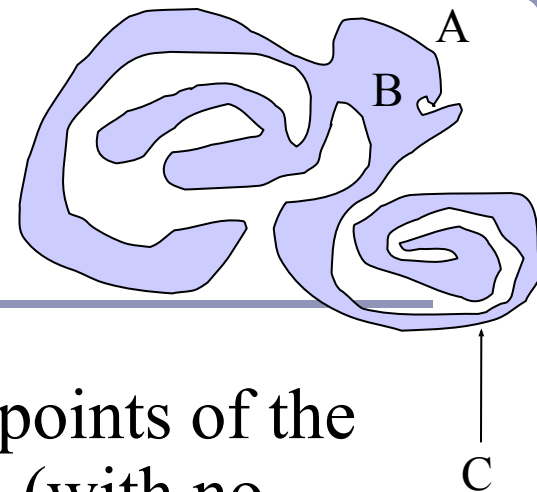
Point in nonconvex polygon

Ray casting (1974)

- Odd number of crossings = inside
- Issues:
 - How to find a point that you *know* is inside?
 - What if the ray hits a vertex?
 - Best accelerated by working in 2D
 - You could transform all vertices such that the coordinate system of the polygon has normal = Z axis...
 - Or, you could observe that crossings are invariant under scaling transforms and just project along any axis by ignoring (for example) the Z component.
- Validity proved by the *Jordan curve* theorem



The *Jordan curve theorem*



“Any simple closed curve C divides the points of the plane not on C into two distinct domains (with no points in common) of which C is the common boundary.”

- First stated (but proved incorrectly) by Camille Jordan (1838 -1922) in his *Cours d'Analyse*.

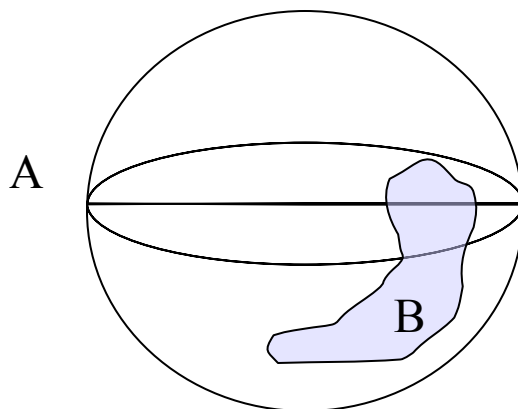
Sketch of proof : (For full proof see Courant & Robbins, 1941.)

- Show that any point in A can be joined to any other point in A by a path which does not cross C , and likewise for B .
- Show that any path connecting a point in A to a point in B *must* cross C .

The Jordan curve theorem on a sphere

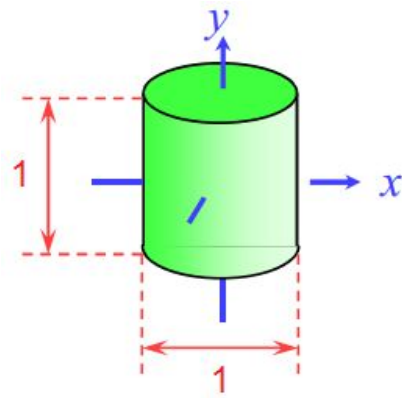
Note that the Jordan curve theorem can be extended to a curve on a sphere, or anything which is topologically equivalent to a sphere.

“Any simple closed curve on a sphere separates the surface of the sphere into two distinct regions.”



Local coordinates, world coordinates

A very common technique in graphics is to associate a *local-to-world transform*, T , with a primitive.



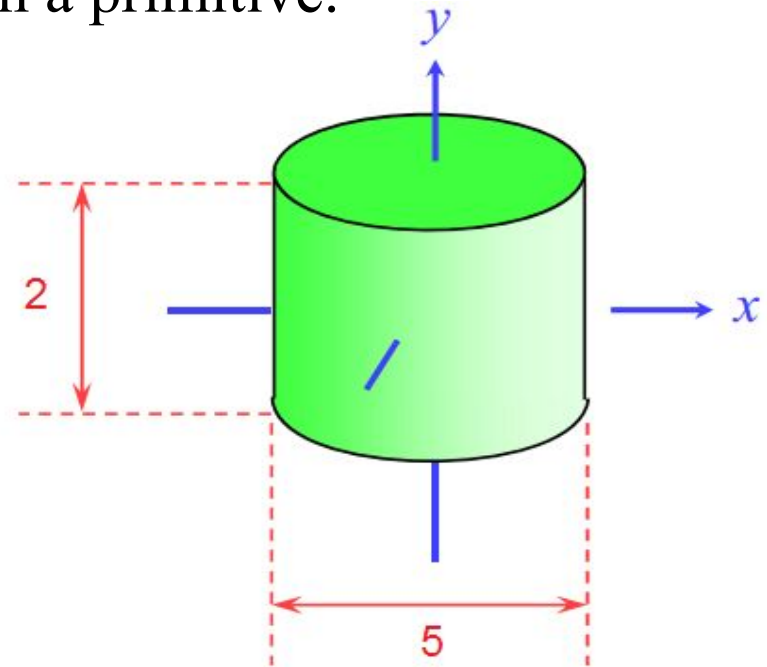
The cylinder “as it sees itself”, in local coordinates

$$*$$

5	0	0	0
0	2	0	0
0	0	5	0
0	0	0	1

A 4x4 *scale matrix*, which multiplies x and z by 5, y by 2.

=



The cylinder “as the world sees it”, in world coordinates

Local coordinates, world coordinates: Transforming the ray

In order to test whether a ray hits a transformed object, we need to describe the ray in the object's *local coordinates*. We transform the ray by the *inverse of the local to world matrix*, T^{-1} .

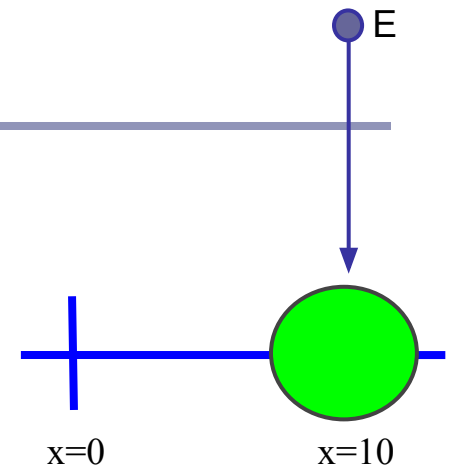
If the ray is defined by

$$P(t) = E + tD$$

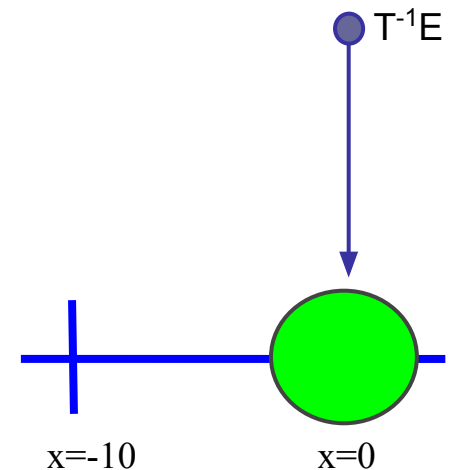
then the ray in local coordinates is defined by

$$T^{-1}(P(t)) = T^{-1}(E) + t(T^{-1}_{3 \times 3}D)$$

where $T^{-1}_{3 \times 3}$ is the top left 3x3 submatrix of T^{-1} .

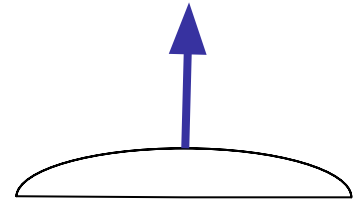


World coordinates



Local coordinates

Finding the normal



We often need to know N , the *normal to the surface* at the point where a ray hits a primitive.

- If the ray R hits the primitive P at point X then N is...

<u>Primitive type</u>	<u>Equation for N</u>
Unit Sphere centered at the origin	$N = X$
Infinite Unit Cylinder centered at the origin	$N = [x_x \ y_x \ 0]$
Infinite Double Cone centered at the origin	$N = X \times (X \times [0, 0, z_x])$
Plane with normal n	$N = n$

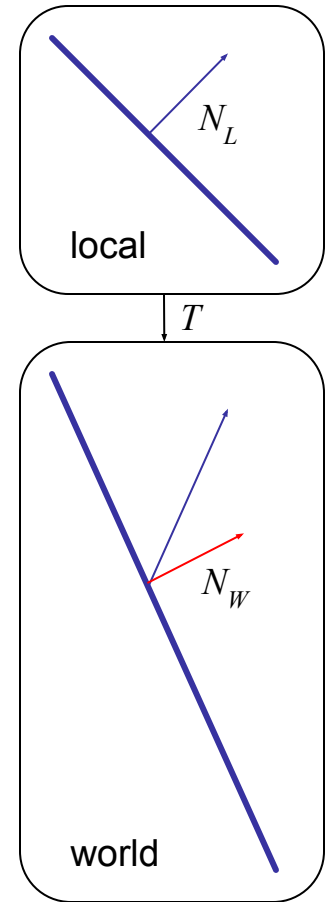
We use the normal for color, reflection, refraction, shadow rays...

Converting the normal from local to world coordinates

To find the world-coordinates normal N from the local-coordinates N_L , multiply N_L by the transpose of the inverse of the top left-hand 3x3 submatrix of T :

$$N = ((T_{3 \times 3})^{-1})^T N_L$$

- We want the top left 3x3 to discard translations
- For any rotation Q , $(Q^{-1})^T = Q$
- Scaling is unaffected by transpose, and a scale of (a,b,c) becomes $(1/a, 1/b, 1/c)$ when inverted



Local coordinates, world coordinates

Summary

To compute the intersection of a ray $R=E+tD$ with an object transformed by local-to-world transform T :

1. Compute R' , the ray R in local coordinates, as
$$P'(t) = T^{-1}(P(t)) = T^{-1}(E) + t(T^{-1}_{3 \times 3}(D))$$
2. Perform your hit test in local coordinates.
3. Convert all hit points from local coordinates back to world coordinates by multiplying them by T .
4. Convert all hit normals from local coordinates back to world coordinates by multiplying them by $((T^{3 \times 3})^{-1})^T$.

This will allow you to efficiently and quickly fire rays at arbitrarily-transformed primitive objects.

Speed up ray-tracing with *bounding volumes*

Bounding volumes help to quickly accelerate volumetric tests, such as “does the ray hit the cow?”

- choose fast hit testing over accuracy
- ‘bboxes’ don’t have to be tight

Axis-aligned bounding boxes

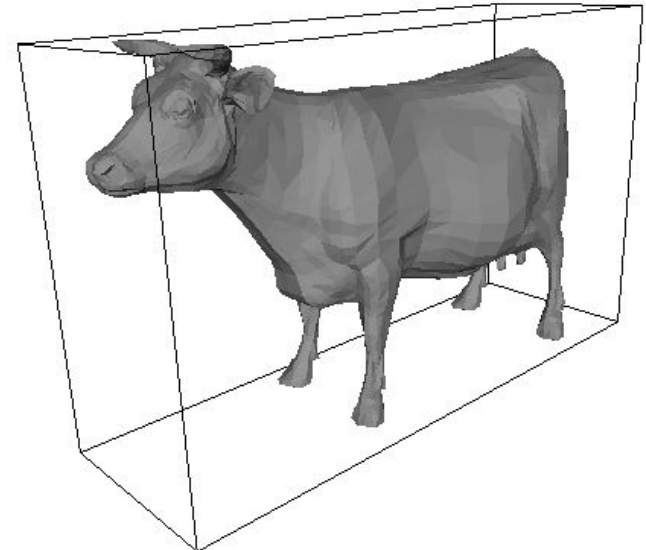
- max and min of x/y/z.

Bounding spheres

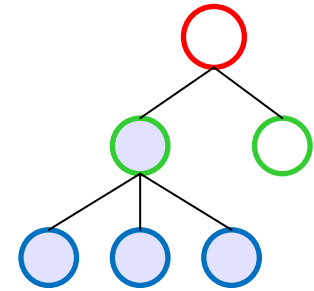
- max of radius from some rough center

Bounding cylinders

- common in early FPS games

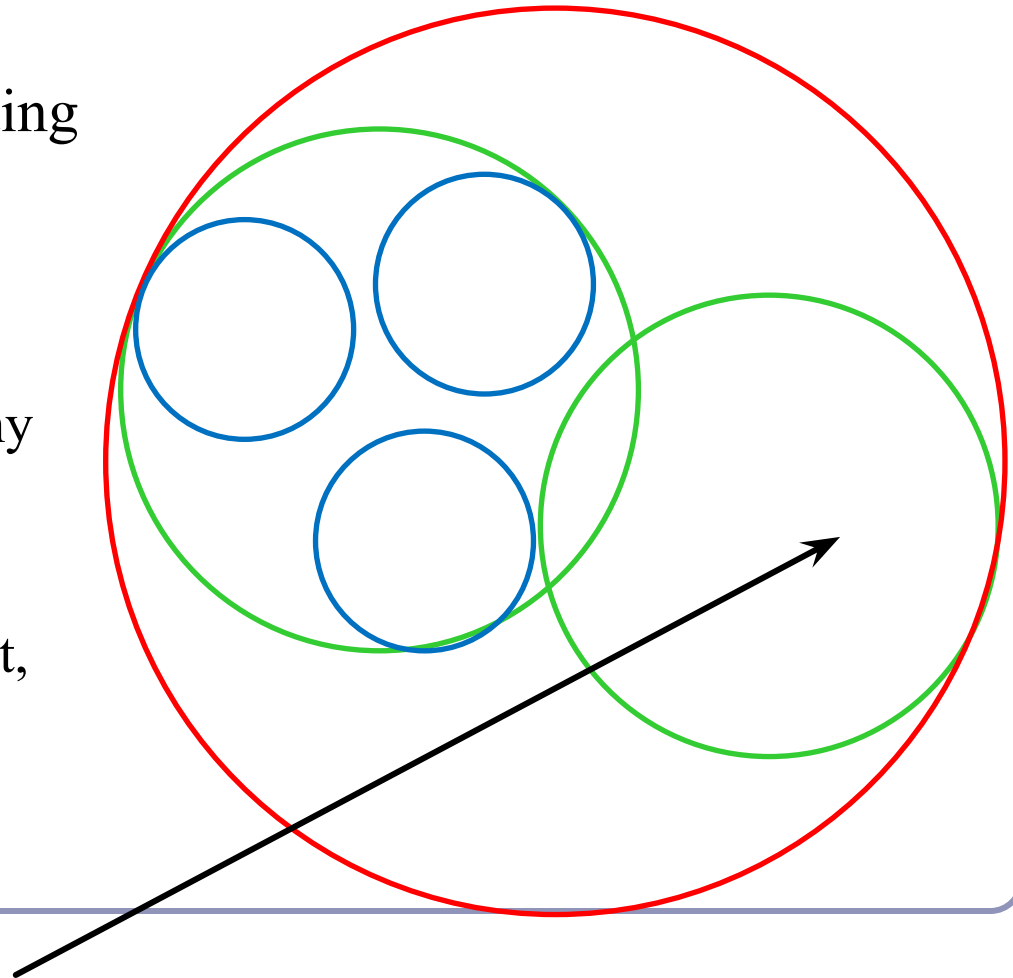


Bounding volumes in hierarchy



Hierarchies of bounding volumes allow early discarding of rays that won't hit large parts of the scene.

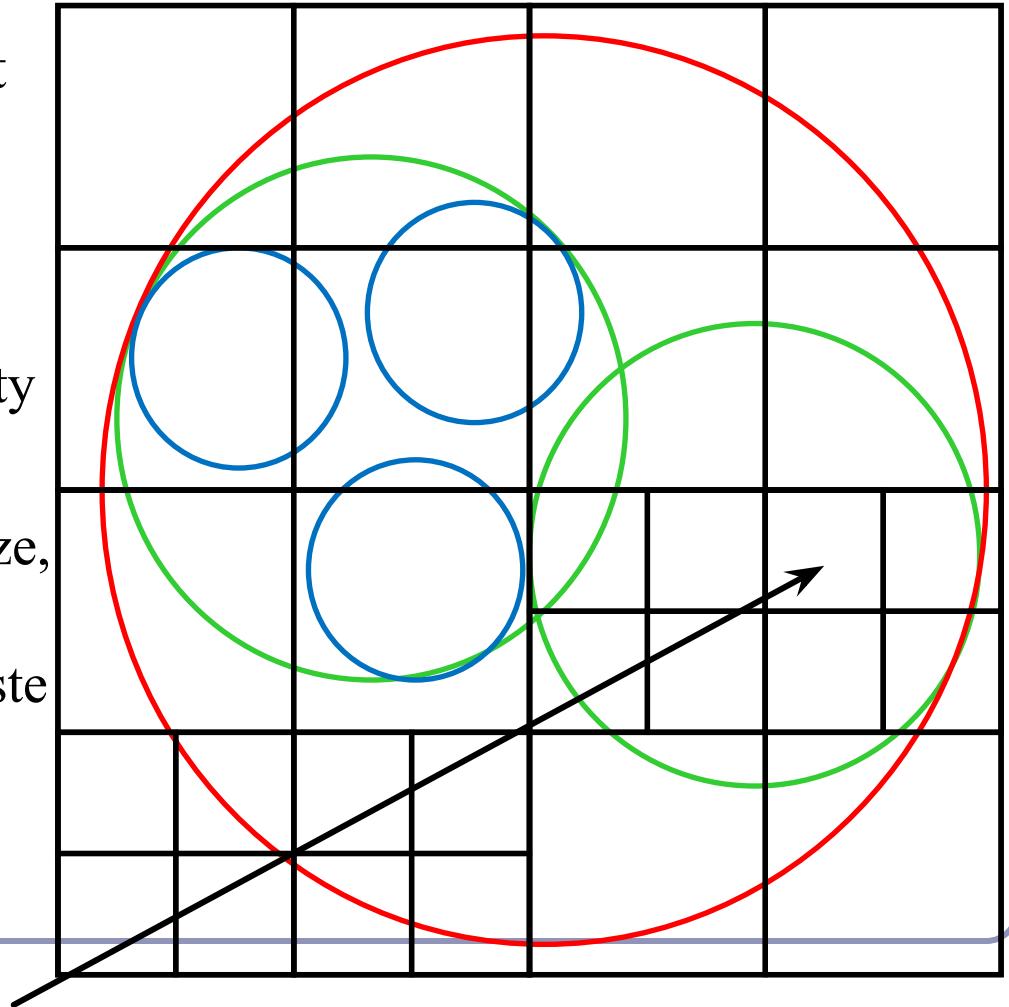
- Pro: Rays can skip subsections of the hierarchy
- Con: Without spatial coherence ordering the objects in a volume you hit, you'll still have to hit-test every object



Subdivision of space

Split space into cells and list in each cell every object in the scene that overlaps that cell.

- Pro: The ray can skip empty cells
- Con: Depending on cell size, objects may overlap many filled cells or you may waste memory on many empty cells



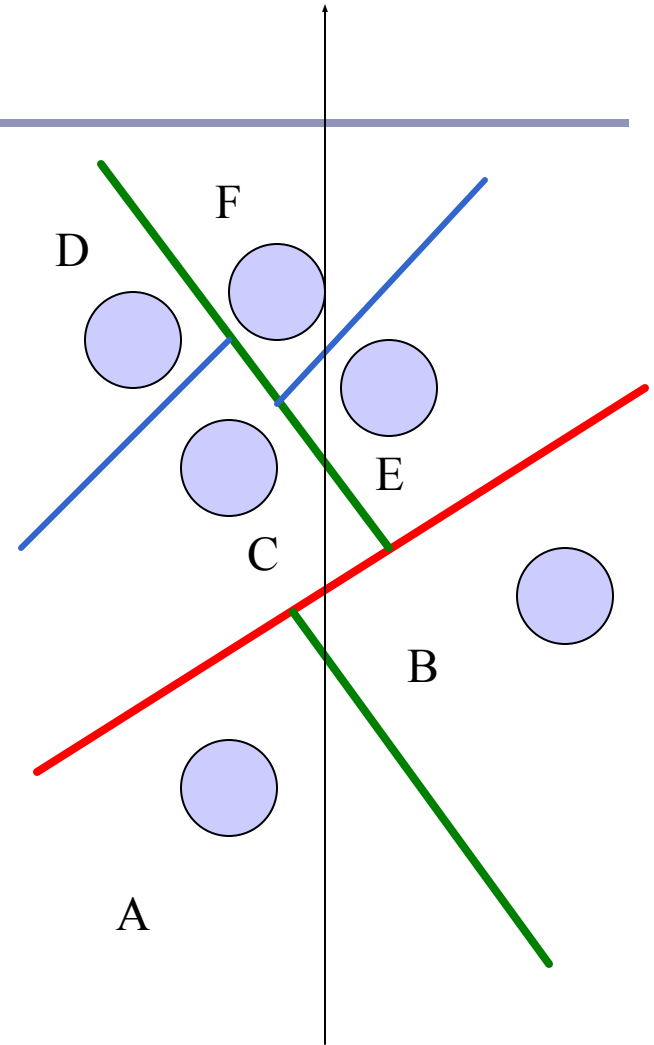
Popular acceleration structures: BSP Trees

The *BSP tree* partitions the scene into objects in front of, on, and behind a tree of planes.

- When you fire a ray into the scene, you test all near-side objects before testing far-side objects.

Problems:

- choice of planes is not obvious
- computation is slow
- plane intersection tests are heavy on floating-point math.



Popular acceleration structures: *kd-trees*

The *kd-tree* is a simplification of the BSP Tree data structure

- Space is recursively subdivided by axis-aligned planes and points on either side of each plane are separated in the tree.
- The *kd-tree* has $O(n \log n)$ insertion time (but this is very optimizable by domain knowledge) and $O(n^{2/3})$ search time.
- *kd-trees* don't suffer from the mathematical slowdowns of BSPs because their planes are always axis-aligned.

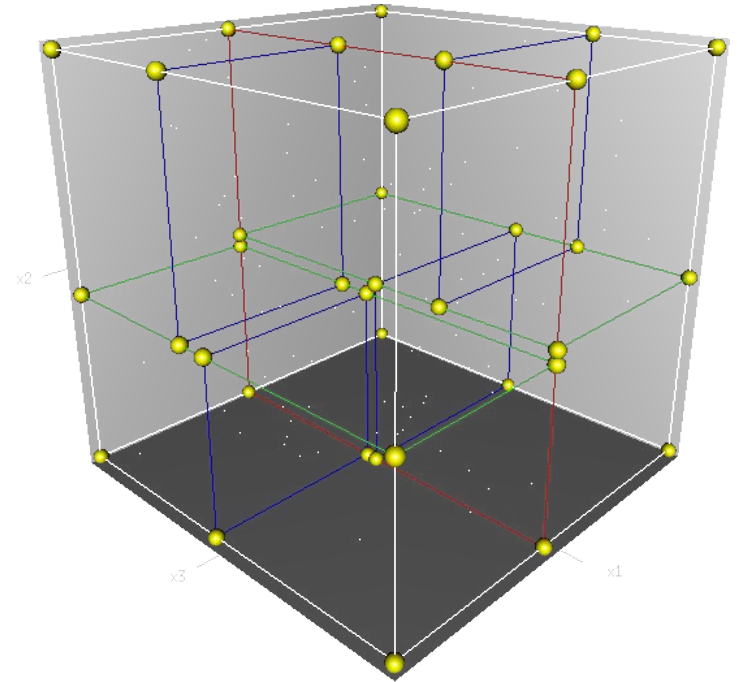


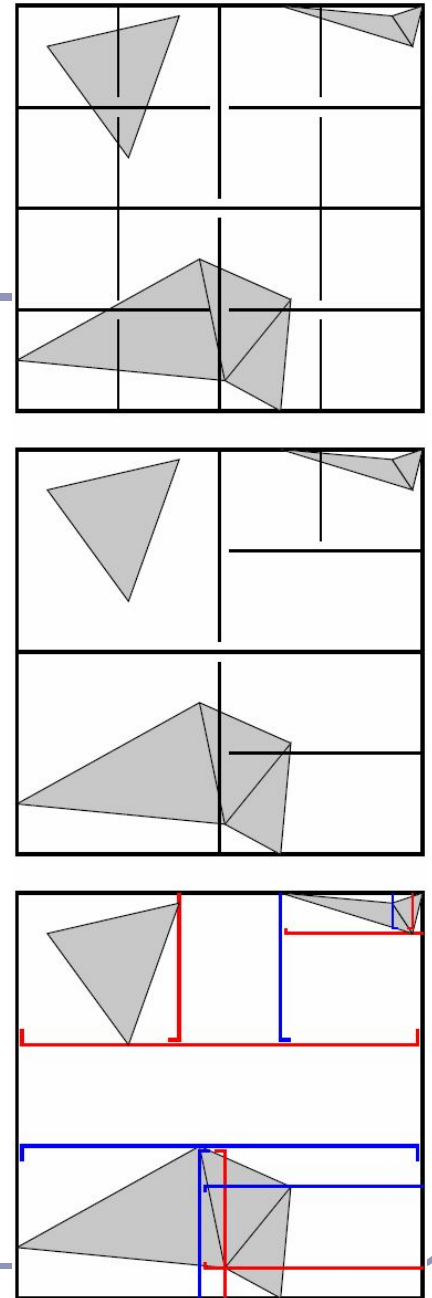
Image from Wikipedia, bless their hearts.

Popular acceleration structures: *Bounding Interval Hierarchies*

The *Bounding Interval Hierarchy* subdivides space around the volumes of objects and shrinks each volume to remove unused space.

- Think of this as a “best-fit” *kd*-tree
- Can be built dynamically as each ray is fired into the scene

Image from Wächter and Keller's paper,
Instant Ray Tracing: The Bounding Interval Hierarchy, Eurographics (2006)



Using OpenGL to accelerate ray-tracing

To accelerate first raycast, don't raycast: use existing hardware.

- Use hardware rendering (eg OpenGL) to write to an offscreen buffer.
- Set the color of each primitive equal to a pointer to that primitive.
- Render your scene in gl with z-buffering and no lighting.
- The 'color' value at each pixel in the buffer is now a pointer to the primitive under that pixel.



References

Jordan curves

R. Courant, H. Robbins, *What is Mathematics?*, Oxford University Press, 1941

<http://cgm.cs.mcgill.ca/~godfried/teaching/cg-projects/97/Octavian/compgeom.html>

Intersection testing

<http://www.realtimerendering.com/intersections.html>

<http://tog.acm.org/editors/erich/ptinpoly/>

<http://mathworld.wolfram.com/BarycentricCoordinates.html>

Ray tracing

Foley & van Dam, *Computer Graphics* (1995)

Jon Genetti and Dan Gordon, *Ray Tracing With Adaptive Supersampling in Object Space*,

<http://www.cs.uaf.edu/~genetti/Research/Papers/GI93/GI.html> (1993)

Zack Waters, “Realistic Raytracing”, http://web.cs.wpi.edu/~emmanuel/courses/cs563/writeups/zackw/realistic_raytracing.html